Carbon Nanotube with a Graphitic Layer, Process and Application

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A method for manufacturing carbon nanotubes with an integrally attached outer graphitic layer is disclosed. The graphitic layer improves the ability to handle and manipulate the nanometer size nanotube device in various applications, such as a probe tip in scanning probe microscopes and optical microscopes, or as an electron emitting device. A thermal chemical vapor deposition reactor is the preferred reaction vessel in which a transition metal catalyst with an inert gas, hydrogen gas and a carbon-containing gas mixture are heated at various temperatures in a range between 500° C. and 1000° C. with gases and temperatures being adjusted periodically during the reaction times required to grow the nanotube core and subsequently grow the desired outer graphitic layer.

14 Claims, 7 Drawing Sheets
FIG. 4

FURNACE 41
QUARTZ TUBE 42

GASES IN 43

SILICON SUBSTRATE 41 44
## ALL CARBON NANOPROBE PROCESS PARAMETERS

<table>
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<tr>
<th></th>
<th>STEP 1</th>
<th>STEP 2</th>
<th>STEP 3</th>
<th>STEP 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>GAS COMPOSITION</td>
<td>50% H₂ 50% Ar</td>
<td>10% CH₄ 90% Ar</td>
<td>5% CH₄ 95% OF Ar / H₂</td>
<td>10% CH₄ 90% Ar</td>
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<tr>
<td>TEMPERATURE RANGE</td>
<td>500°C - 750°C</td>
<td>750°C - 900°C</td>
<td>900°C - 1000°C</td>
<td>1000°C</td>
</tr>
<tr>
<td>PROCESS</td>
<td>PURGING; REDUCTION</td>
<td>NANOTUBE GROWTH</td>
<td>AMORPHOUS CARBON GROWTH</td>
<td>GRAPHITIC LAYER GROWTH</td>
</tr>
<tr>
<td>DURATION</td>
<td>30 - 45 MIN.</td>
<td>30 - 45 MIN.</td>
<td>30 - 45 MIN.</td>
<td>30 - 60 MIN.</td>
</tr>
</tbody>
</table>

**FIG. 5**
PROCESSING STEPS FOR PRODUCTION OF NANOTUBE DEVICE

Deposite transition metal (or alloy) particles on silicon substrates in 1-100 torr of Ar atmosphere.

Remove substrate from evaporator and place it into the catalytic thermal CVD system.

Heat the sample up to 725°C with flow 50% Ar and 50% H₂ for 30 - 60 min.

Under 10% CH₄ and 90% Ar at a temperature between 725 - 900°C nanotube growth.

Reduce CH₄ to 5% and add 5% of H₂ heat at a temperature of 900°C to 1000°C.

Turn off H₂ and continue fiber growth with 10% CH₄ and 90% Ar for another 30 min.

Take out of furnace, examine under optical microscope, break carbon fiber to reveal nanotube.

FIG. 6
CARBON NANOTUBE WITH A GRAPHITIC OUTER LAYER: PROCESS AND APPLICATION

The present invention relates to a novel method of manufacturing carbon nanotubes, and in particular to the production of a structure comprising a carbon nanotube with an outer graphitic layer that can function as a handle for attaching and/or manipulating the tip of the nanotube.

BACKGROUND AND PRIOR ART

Material scientists have been exploring the properties of fullerenes which are geometric structures built of carbon atoms. In 1991, a new fullerene joined the buckyball, a cage-like structure built of 60 carbon atoms. Scientists found that the buckyball structure can be extended to form long slender tubes—carbon nanotubes—single molecules comprised of rolled graphene (graphite-like) sheets capped at each end. Thus, carbon nanotubes, the newest fullerene structure, are effectively buckyballs played out as long strands, so thin they can not be seen under an ordinary microscope and certainly not with the naked eye. In fact, it is suggested by Boris I. Yakobson and Richard E. Smalley in American Scientist (July—August 1997), "Fullerene Nanotubes: C_{120,000}, and Beyond," that when Roger Bacon used the electric arc in the early 1960s to make "thick" carbon whiskers, the nanotube discovery was a matter of looking more closely at the smallest products hidden in the soot, but Bacon lacked the high-power microscope required to see them.

To comprehend the size of a single-wall carbon nanotube, imagine holding in your hand a wand with a hollow core that is a single molecule. Such a wand would be a few atoms in circumference. In fact, nanotubes sufficient to span the 250,000 miles between the earth to the moon could be loosely rolled into a ball the size of a poppyseed. Together, the smallness of the nanotubes and the chemical properties of carbon atoms packed along their walls in a honeycomb pattern are responsible for their fascinating and useful qualities and, present significant production challenges.

Precursors to the development of carbon nanotubes are reported in patents issued prior to 1991. U.S. Pat. No. 4,025,689 discloses a process requiring the use of inert gas atmospheres and temperatures up to 3000° C. for preparing a lightweight, hollow carbon body having outstanding properties as activated carbon. U.S. Pat. No. 4,228,142 prepares a high density carbon coated silicon carbide particle which can be substituted for commercial-grade diamonds by reacting a fluorocarbon and silicon carbide in an inert gas atmosphere at temperatures >800° C. U.S. Pat. No. 4,855,091 discloses the formation of a fishbone-like graphite layer along an axis of carbon filaments when a carbon containing gas is heated to temperatures between 250° C. and 800° C. in the presence of ferromagnetic metal particles. U.S. Pat. No. 5,165,909 discloses the growth of hollow carbon fibrils having layers and a core made up of concentric rings, like a tree. Metal-containing particles are used as catalysts in reactions with inexpensive, readily available carbon containing raw materials; high temperature graphitizing reactions are avoided. The fibrils have a high surface area, high tensile strength and modulus required in reinforcement applications. U.S. Pat. No. 5,747,161 discloses a method for forming a tubular shaped carbon filament less than 30 nanometers in diameter using an arc discharge process.

In summary, the pursuit of carbon structures is well documented and the foundation is laid for the use of processes employing inert gas atmospheres, metal catalysts having an affinity for carbon such as iron, nickel, cobalt (Fe, Ni, Co, respectively) and high temperatures to create the desired structure.

After the discovery of carbon nanotubes in 1991, scientific efforts have been devoted to the production of carbon nanotubes in higher yield; the production of carbon nanotubes with consistent dimensions, e.g., diameter and length; processes which separate nanotubes from other reaction products; processes which eliminate the entanglement of tubes with each other and the development of useful applications. For example, U.S. Pat. No. 5,346,683 discloses uncappping and thinning carbon nanotubes to provide open compartments for inserting chemicals. U.S. Pat. No. 5,456,986 discusses the production of magnetic nanoparticles and nanotubes from graphite rods packed with magnetic metal oxides or rare earths and subjected to carbon arc discharge. U.S. Pat. No. 5,543,378 discloses carbon nanostructures which encapsulate a palladium crystallite, allowing the delivery of substances suitable for x-ray diagnostic imaging in a safe, encapsulated form. Another method of producing encapsulated nanoparticles, nanotubes and other closed carbon structures is disclosed in U.S. Pat. No. 5,780,101 and its divisional counterpart, U.S. Pat. No. 5,965,267; a transition metal catalyst is contacted with a gas mixture containing carbon monoxide in a temperature range between 300° C. and 1000° C. Methods for isolating and increasing the yield of carbon nanotubes are disclosed in U.S. Pat. No. 5,550,898 which discloses a physical separation technique; U.S. Pat. No. 5,641,466 reveals a method for purification of carbon nanotubes by the oxidation of co-existing, but undesired carbon structures; and U.S. Pat. No. 5,698,175 claims a chemical technique for separating nanotubes from carbon by-products.

The references reveal that prior art methods for producing carbon nanotubes give undesirably low yields. Carbon nanotubes with significant variations in structure and size are usually produced and often include carbon materials of different shapes which may be carbon nanoparticles and amorphous carbon. Carbon nanotubes are further classified into one with a single hexagonal mesh tube called a single-walled nanotube (abbreviated as “SWNT”), and one comprising a tube of a plurality of layers of hexagonal meshes called a multiwalled nanotube (abbreviated as “MWNT”).

The type of carbon nanotube structure available is determined to some extent by the method of synthesis, catalysts and other conditions. Research continues in an effort to produce carbon nanotubes of a consistent, predictable structure.

The present invention contributes a more consistent, predictable method for manufacturing a particular configuration of carbon nanotubes. Novel process conditions and reactants are disclosed. The present invention also provides a solution to problems associated with handling and manipulating the “small” wand which is only visible with high-power electron microscopes, or other costly visual aids. Through the process of the present invention, a “graphic outer layer” defined as carbon material comprising one or more distinct structures, is intentionally formed during carbon nanotube production and becomes an integral part of the carbon nanotube device. The carbon material can be either a soft amorphous carbon, a hard graphitic carbon, or a combination thereof. If the soft amorphous carbon is formed prior to the formation of the harder, more resilient graphitic carbon, the amorphous carbon serves as a cushion between the carbon nanotube and the harder graphitic carbon. The carbon material, either singly or collectively, is called the...
“graphitic outer layer” and creates bulk such that the sub-microscopic nanotube can be handled easily and efficiently.

Computer simulations and laboratory experiments show that carbon nanotubes have extraordinary resilience, strength and various unusual electronic and mechanical properties; for instance, they can be formed into very strong ropes and can be used as probes because of a very large Young’s modulus, even greater than diamond. They also exhibit electrical conductivity in a quantized fashion that has led to experiments with tiny nanowires and nanoscale transistors.

SUMMARY OF THE INVENTION

The first objective of the present invention is to provide a method for producing a new configuration for multi-walled carbon nanotubes that enhances utility. The same procedure can be used to produce a similar configuration for single-walled carbon nanotubes with appropriate modifications to process conditions.

The second objective of the present invention is to provide a method for handling this new carbon nanotube configuration on the tip of a scanning probe microscope.

The third objective of this invention is to demonstrate the use of the new carbon nanotube configuration as an excellent electron source.

The fourth objective of this invention is to provide a graphitic carbon handle on a carbon nanotube to enable manipulation of the nanotube probe tip using the power of a conventional optical microscope.

The preferred embodiment for the production of carbon nanotubes in the present invention results in nanotubes having a diameter between about 1 nanometer (nm) and about 100 nm with an integrally attached outer layer of graphitic material approximately 1 micrometer (µm) to approximately 10 µm in diameter. The much larger diameter of the graphitic outer layer becomes a handle so that the nanotube probe can be manipulated under a conventional optical microscope. The handle also provides a means for attachment and greatly enhances the utility of carbon nanotubes in a variety of scanning probe microscopes, electron microscopes and as an electron emitter for flat panel displays.

Further objectives and advantages of this invention will be apparent from the following detailed description of a presently preferred embodiment which is illustrated in the accompanying drawings.

BRIEF DESCRIPTION OF THE FIGURES

FIG. 1 is a diagram of a carbon nanotube with a graphitic outer layer—amorphous carbon coated with graphitic carbon.

FIG. 2 is a scanning electron micrograph of a nanotube with a graphitic outer layer.

FIG. 3A is a carbon nanotube probe mounted on a conventional atomic force microscope tip.

FIG. 3B is a carbon nanotube probe mounted on the end of an optical fiber.

FIG. 4 is a diagram of a thermal chemical vapor deposition reactor for the production of a nanotube with a graphitic outer layer.

FIG. 5 is a chart of process conditions used to produce a nanotube with various graphitic outer layers.

FIG. 6 is a flow diagram of process steps for production of nanotubes in the preferred embodiment.
invention to meet the metrology requirements. The graphitic material, acting as a handle, provides a means for attaching the nanotube to the tip of the AFM.

In FIG. 3A, the nanometer size nanotube (30) is mounted to a conventional cantilever by using a laser or focus ion beam to drill a hole (31) approximately 1 micrometer to approximately 10 micrometers (μm) in diameter in the conventional cantilever pyramid tip (32). The nanotube with graphitic outer layer (33) is inserted in the hole. The nanotube (30) and the graphitic outer layer (33) are collectively sometimes called a "nanoprobe." A small amount of adhesive (34) is applied to firmly fix the nanoprobe in the pyramid tip (32) of a conventional AFM. Other means for affixing the nanoprobe to the scanning probe tip may be used and are understood to be within the scope of the present invention.

FIG. 3B shows a nanoprobe (35) mounted on the end of an optical fiber (36). In this embodiment, a hole (37) that is approximately 1 μm to approximately 5 μm in diameter is drilled at the end of an optical fiber (36). The depth of the hole can be from approximately 10 microns to approximately 100 microns. A small amount of adhesive is applied to the surface interface between the nanoprobe and the hole drilled in the optical fiber.

Another purpose served by the graphitic outer layer is to provide a means for the carbon nanotube to be manipulated under an optical microscope. In other applications, such as an electron emitter for the field emission electron microscope or for arranging an array of nanotubes on a silicon substrate used in flat panel displays, the manipulation and arrangement of the nanotubes are facilitated by the graphitic outer layer.

The method for manufacturing the unique carbon nanotube device of the present invention will now be described in detail. The configuration shown in FIG. 4 is generally known as a thermal chemical vapor deposition (CVD) reactor which is the preferred device for production. FIG. 5 charts the nanotube device manufacturing process parameters. FIG. 6 is a flow chart of the manufacturing method used in the Example which illustrates the invention.

FIG. 4 is a diagram of the quartz tube reactor comprising a furnace (41) a quartz tube (42) with an inlet means for gases (43) and an internal position for the catalytic metal substrate (44). Quartz tube reactors are commercially available and known to those skilled in the art. The reactor used in the present invention was supplied by Lingberg-Blue M, 304 Park Street, Watertown, Wis. 53084. Reaction conditions are shown in FIG. 5.

Preparation for the manufacture of the carbon nanotube device begins with the deposition of transition metal or transition metal alloy particles on a silicon substrate in 1-100 Torr of an inert gas atmosphere. Substrates can be used that do not react with the carbon-containing raw material gas at high temperatures or do not contain the catalysts selected for the present process. The transition metal catalyst (pure element or alloy) can be selected from the group consisting of cobalt, nickel or iron (Co, Ni or Fe, respectively), or mixtures thereof which can be deposited as nanoparticles on silicon. The presence of a catalyst also allows the use of a lower reaction temperature.

Thus, the reaction temperatures may vary with choice and amount of catalysts employed. Temperature ranges for various reactions in the present invention are disclosed in FIG. 5. Purging of the reaction vessel occurs between approximately 500°C. and approximately 750°C. Nanotube growth occurs between approximately 750°C. and approximately 900°C. Amorphous carbon forms a soft outer coating of the nanotube at temperatures between approximately 900°C. and approximately 1000°C. Graphitic carbon forms a hard outer coating on either the nanotube or the amorphous carbon at a temperature of approximately 1000°C.

The inert gas atmosphere is effective when the growth rate is too high, or when alleviating toxicity or explosivity of the raw material gas. The inert gases useful in the present invention include such gases as argon, helium and nitrogen; argon being preferred. As one skilled in the art would understand, process conditions can vary based on the selection of gases. The silicon substrate with catalyst is placed inside the quartz tube reactor. Then a mixture of hydrogen gas and argon gas is passed through the reactor while the tube is being heated to 750°C. using a resistivity tube furnace. The ratio of hydrogen gas to argon gas is 1:1. The temperature of the furnace is measured with a thermocouple probe. This phase of the reaction is shown in FIG. 5 as Step 1. In Step 1, catalyst reduction and purging of impurities in the vessel are accomplished.

In Step 2 of FIG. 5, carbon nanotube growth occurs when the gases fed to the reaction vessel are changed to a mixture of a carbon-containing raw material gas and an inert gas while the temperature is increased to 900°C. The ratio of raw material gas to inert gas is 1:1. Theoretically, any carbon containing gas can be used as a raw material for the present process. Preferably the carbon-containing raw material gas is a hydrocarbon such as methane, ethane, propane, butane, hexane, cyclohexane or an oxide such as carbon monoxide. To reiterate, process conditions may require adjustment with changes in the raw materials used. The ratio of raw material gas to inert gas, should preferably be within a range of from approximately 1:20 to approximately 20:1. The better results are from experiments with a ratio of 1:20 to approximately 1:10. At lower ratios, the nanotubes are thinner and in a preferred structural arrangement. A carbon nanotube is generated in a period of time within a range of from 30 minutes to 1 hour after the introduction of the raw material gas.

Step 3 in FIG. 5 shows a change in ratio of raw material gas to inert gas, with the addition of hydrogen gas, so that there are equal amounts of hydrogen and carbon-containing raw material gas (methylene). During this step the temperature of the vessel is increased to approximately 1000°C. Under this processing condition, the formation and growth of carbon nanotubes is stopped, and a layer of amorphous carbon is deposited on the surface of the carbon nanotubes in a period of time that ranges from approximately 30 minutes to approximately 45 minutes or one hour after the gaseous mixture is adjusted to a lower composition of raw material gas.

Step 4 in FIG. 5 shows the third and final adjustment of gases being fed into the reactor tube. Hydrogen gas is turned off and the ratio of carbon-containing raw material gas to inert gas is again in a preferred ratio of 1:10. The temperature of the reactor is maintained at approximately 1000°C. for a period of time from approximately 30 minutes to approximately 45 minutes. By applying this processing condition, a hard graphitic layer has been coated onto the soft amorphous carbon layer which is deposited on the surface of the carbon nanotubes. A novel configuration of multi-walled carbon nanotube core with a soft amorphous carbon layer and a hard graphitic layer coating is achieved. Furthermore, annealing of the carbon nanotube device also occurs in the final step of the reaction.

For carbon nanotube production and growth of a graphitic outer layer, the pressure within the reactor is one atmosphere. The gas flow rate is 100 to 200 cubic centimeters per minute.
While the invention has been described, disclosed, illustrated and shown in various terms of certain embodiments or modifications which it has presumed in practice, the scope of the invention is not intended to be, nor should it be deemed to be, limited thereby and such other modifications or embodiments as may be suggested by the teachings herein are particularly reserved especially as they fall within the breadth and scope of the claims here appended.

We claim:

1. A method for manufacturing a carbon nanotube device consisting of a carbon nanotube core having an integrally attached outer graphitic layer comprising the steps of:
   a) preparing a transition metal catalyst on a selected substrate;  
   b) placing the transition metal catalyst and substrate of step (a) in a reaction vessel;  
   c) purging the reaction vessel of step (b) by heating said vessel to a temperature between approximately 500° C. and 750° C. while introducing a gaseous mixture of hydrogen and an inert gas;  
   d) growing a carbon nanotube core by changing the gaseous mixture of step (c) to a stream consisting of approximately 10% carbon-containing gas and approximately 90% inert gas, while increasing the temperature of the reaction vessel to a range between 750° C. and 900° C.;  
   e) growing an integrally attached graphitic outer layer on the carbon nanotube core of step (d) by changing the reaction parameters to promote the distinct formation of carbon material selected from the group consisting of amorphous carbon, graphitic carbon and mixtures thereof;  
   f) removing the carbon nanotube with graphitic outer layer of step (e) from the reaction vessel; and  
   g) mechanically breaking away a portion of the graphitic outer layer to leave the carbon nanotube core partially exposed.

2. The manufacturing method of claim 1 wherein the reaction parameters of step (e) are changed to include the steps of:
   a) introducing to the reaction vessel a gaseous mixture consisting of approximately 5% carbon-containing gas, approximately 5% hydrogen gas and approximately 90% inert gas while increasing the temperature of the reaction vessel to a range between approximately 900° C. and approximately 1000° C. to promote the growth of an amorphous carbon material;  
   b) maintaining the conditions of step (a) for a period of time from approximately 30 minutes to approximately one hour; and, thereafter  
   c) annealing the reaction product of step (b) by introducing to the reaction vessel a gaseous mixture consisting of approximately 10% carbon-containing gas and approximately 90% inert gas while maintaining the temperature of the reaction vessel at approximately 1000° C. to promote the growth of a graphitic carbon coating.

3. The manufacturing method of claim 1 or 2 wherein the reaction parameters of step (e) are changed to include the step of annealing the reaction product of step (d) by changing the gaseous mixture to a stream consisting of approxi-
mately 10% carbon-containing gas and approximately 90% inert gas while maintaining the temperature of the reaction vessel at approximately 1000° C. to promote the growth of a graphitic carbon coating.

5. The manufacturing method of claim 1 or 4 wherein the graphitic outer layer forms on the carbon nanotube core at temperatures of approximately 1000° C.

6. The manufacturing method of claim 1, 2 or 4 wherein the transition metal catalyst is selected from the group consisting of cobalt, nickel, iron, mixtures and alloys thereof.

7. The manufacturing method of claim 6 wherein the transition metal catalyst is a mixture of 50% iron and 50% nickel.

8. The manufacturing method of claim 1, 2 or 4 wherein the selected substrate for the transition metal catalyst is silicon.

9. The manufacturing method of claim 1, 2 or 4 wherein the inert gas is selected from a group consisting of argon, helium and nitrogen.

10. The manufacturing method of claim 9 wherein the inert gas is argon.

11. The manufacturing method of claim 1, 2 or 4 wherein the carbon-containing gas is selected from the group consisting of methane, ethane, propane, butane, ethylene, cyclohexane, carbon monoxide and carbon dioxide.

12. The manufacturing method of claim 11 wherein the carbon-containing gas is methane.

13. The manufacturing method of claim 1, 2 or 4 wherein purging of the reaction vessel of step (c) occurs when the vessel is heated to a temperature of approximately 725° C.

14. The manufacturing method of claim 1, 2 or 4 wherein the carbon nanotube core grows when the reaction vessel is heated to temperatures of approximately 750° C. and approximately 900° C.