Sol-Gel Composite AR Coating For IR Applications (DIV-method)

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A method for forming composite anti-reflective (AR) sol gel-derived coatings includes forming a first chalcogenide compound sol and forming a second chalcogenide compound sol. The first and second sol are mixed to form a mixed sol. The mixed sol is thermally treated at a maximum temperature of no more than 100°C for (i) drying the mixed sol to form a composite gel and (ii) removing OH⁻ species from the composite gel to form a highly amorphous state AR coating.
FIG. 2
FIG. 3
FIG. 5

Interdigital electrodes on a silicon dioxide liner (SiO$_2$) produce a peak at 534 eV. Another peak at 530 eV is attributed to titanium dioxide (TiO$_2$).
FIG. 6
These materials have very low absorbance in the reflection. In window glass, such as a car window, the maximize transmission for one specific wavelength requires that refractive indices can be layered to allow for enhanced transmission throughout the infrared region and their non-linear refractive emissive articles having chalcogenide comprising anti-reflective (AR) composite coatings on infrared transmissive substrate whose refractive index is the square root of the wavelength of light. This technique is very useful for applications which is incorporated herein by reference.

These materials can result in significant transmission. To provide an AR coating layer on a substrate to optimize transmission losses. By applying AR coatings, transmission can be improved for a certain wavelength.

Anti-reflection (AR) is of major importance in a variety of systems, particularly in systems where multiple pieces of glass are used. Even a small reflection, if multiplied by a large number of glass surfaces, can result in significant transmission losses. To achieve AR coatings, transmission can be maximized for enhanced efficiency and transmission quality.

Anti-reflection (AR) is of major importance in a variety of systems, particularly in systems where multiple pieces of glass are used. Even a small reflection, if multiplied by a large number of glass surfaces, can result in significant transmission losses. To achieve AR coatings, transmission can be maximized for enhanced efficiency and transmission quality.

The starting materials used in the preparation of the “sol” are usually alkoxides. In a typical sol-gel process, the precursor is subjected to a series of hydrolysis and polymerization reactions to form a colloidal suspension, or a “sol”. Hydrolysis of an alkoxide liberates alcohol and results in polymerized chains of metal hydroxide. For example, silica gels can be formed by hydrolysis of tetraethoxysilicate (TEOS; an alkoxide having the formula Si(OC₂H₅)₄) based on the formation of silicon oxide SiO₂ and ethyl alcohol C₂H₅OH as noted below:

\[
\text{Si(OC₂H₅)₄} + 4\text{H}_2\text{O} \rightarrow \text{Si(OH)₄} + 4\text{C₂H₅OH}
\]

Multilayer coatings are effective for transmission across a large range of wavelengths. The two major drawbacks of this technique are intrinsic to the properties of complicated coatings. The challenge becomes how to maintain coating thickness while minimizing defects. Further, multilayer coatings can be mechanically unsound when compared to single layers.

Finally, sol-gel derived AR coatings, being the easiest to apply, show the most potential in broadband applications. Unlike MLCs, single layer sol-gel coatings are very mechanically sound and are simple to create with minimal defects. Also, similarly to sub-micron structured AR techniques, sol-gel derived AR coatings use sub-micron particles to affect both the refractive index and the light scattering within the coating.

One of the most common coating materials used for anti-reflective properties is silica (SiO₂). However, other material combinations can be used to achieve similar refractive index values while still using the mechanically strong sol-gel derived thin film. Examples of alternative materials that use a particular chalcogenide glass as a thin film are As₂S₃ or GeₓSeₙ. These materials have very low absorbance in the infrared region and combinations of these materials can be used to alter the refractive index through a wide range. Similar systems can be developed that include the use of many different coating materials to achieve the desired refractive gradient, such as an As₂Se₃/BaF₂/air system, which depends on the densification of the film through heat treatments for mechanical stability. Although such a coating is generally feasible for obtaining the desired optical qualities, the strength of the film cannot be fully achieved without subsequent heat treatments to at least several hundred degrees C. to densify, reduce porosity, and create stronger linkage between constituent elements. However, such heat treatments can degrade optical properties of the films. What is needed is a new composition and low temperature process for forming broadband single layer AR coatings.

SUMMARY

An infrared transmissive article comprises an infrared transmissive substrate and a single layer anti-reflective (AR)
composite broadband sol-gel coating on a surface of the substrate. The AR coating comprises a first chalcogenide comprising compound, and a second chalcogenide comprising compound different from the first chalcogenide comprising compound intermixed with the first chalcogenide comprising compound. The percentages of the first chalcogenide and said second chalcogenide in the AR coating are selected to provide a refractive index for the AR coating that approximates the square root of a refractive index of the substrate. As used herein, "approximates the square root of a refractive index of the substrate" refers the average refractive index of the coating being within 5%, preferably less than 2%, of the square root of a refractive index of the substrate, measured in the near IR range of about 1 to 5 microns.

The thickness of the AR coating is generally nanoscale, such as <200 nm. In one embodiment, the AR coating thickness is 50 nm, and 100 nm in another embodiment.

In one embodiment the first chalcogenide comprising compound is silica and said second chalcogenide comprising compound is titania. In this embodiment, the coating can essentially consist of silica and titania.

A primary particle size distribution in the coating can have a peak at between 2-10 nm. The substrate can be a chalcogenide substrate, such as a silica substrate, or a variety of other substrates. In the case of a silica substrate, the refractive index of the AR coating in a wavelength range from 1 to 5 microns can be from 1.62 to 1.66. A % transmissivity of the coated substrate can average at least 70% over a majority of a wavelength range from 1.5 to 5 micrometers. The AR coating can have a gradient of refractive index across its thickness, wherein the refractive index of the AR coating is lower at its surface as compared to a bulk of the coating. The gradient can comprise a difference in refractive index from 1 to 5% from the surface to the bulk of the AR coating.

A method for forming composite anti-reflective (AR) sol gel coatings comprises the steps of forming a first chalcogenide comprising sol, reacting the first chalcogenide comprising sol with a reagent for hydrolysis, forming a second chalcogenide comprising sol, reacting the second chalcogenide comprising sol with a reagent for hydrolysis, and mixing the first and second chalcogenide comprising sol to form a composite sol-gel. In one embodiment, the first chalcogenide comprising sol can comprise silica and said second chalcogenide sol can comprise titania. The maximum temperature used in the method can be limited to no more than 100°C. The low processing maximum processing temperature of 100°C results in the as-formed amorphous sol-gel composite remaining essentially fully amorphous.

The method can further comprise the step of coating the composite sol-gel on an infrared transmissive substrate, such as a chalcogenide glass substrate. The coating step can comprise dip coating or spin coating.

BRIEF DESCRIPTION OF THE DRAWINGS

There is shown in the drawings embodiments which are presently preferred, it being understood, however, that the invention can be embodied in other forms without departing from the spirit or essential attributes thereof.

FIG. 1 is a flow chart detailing steps to create an exemplary composite sol-gel derived composite coating according to an embodiment of the invention. Two sol-gel solutions are prepared and aged separately before mixing. The process flow shown includes the step of dip coating the composite sol-gel on a chalcogenide substrate to provide an AR coating thereon. A cross sectional view of the AR coated substrate is also provided.

FIG. 2 are graphs showing the particle size distribution for silica particles obtained from the process detailed in FIG. 1. There are two main size distributions, one centered between about 2-10 nm, and another distribution centered at about 100-200 nm which represents agglomerates of silica particles.

FIG. 3 is an X-ray photoelectron spectroscopy (XPS) Si (2p) spectrum evidencing SiO₂ formation. The peak is centered at 103.2 eV indicates the presence of SiO₂. No other peaks are present indicating that essentially all of the silicon is in this form.

FIG. 4 is a XPS Ti (2p) spectrum evidencing formation of TiO₂. The peak is centered at a binding energy of 459.16 eV signifying the presence of TiO₂. No other peaks are present indicating that essentially all of the titania is in this form.

FIG. 5 is a XPS O (1s) spectrum evidencing formation of SiO₂ and TiO₂. The SiO₂ peak is centered at a binding energy of 532.7 eV. The second peak, TiO₂, is located at 520.4 eV.

FIG. 6 shows the transmissivity as a % for AR coated chalcogenide substrates according to an embodiment of the invention as compared to uncoated chalcogenide substrates wavelengths from 1.5 to 5.0 micrometer.

DETAILED DESCRIPTION OF THE INVENTION

The present invention comprises composite sol-gel derived coating compositions and low cost methods for forming the same. Such coatings have been found to provide broadband optical properties in the low (near) infrared range of about 1 to 5 microns, and can be used as single layer AR coatings to form infrared transmissive articles which include an underlying IR transmissive substrate, such as a chalcogenide glass substrate. The AR coating includes a first chalcogenide including compound, and a second chalcogenide including compound intermixed with the first chalcogenide including compound. The percentages of the first chalcogenide and the second chalcogenide in the AR coating are selected to provide a refractive index of the AR coating that approximates the square root of the refractive index of the substrate.

The inventive coating can be applied to a wide variety of IR transmissive substrates. IR transmissive substrates can include silicon, germanium, zinc sulfide, zinc selenide and certain chalcogenide substrates, including silica.

A variety of sol-gel precursors can be used with the present invention including ceria, silica, titania, vanadium oxide, and magnesium fluoride sols. In one embodiment, the respective sols comprising the composite have the same oxidation state and are of similar size. The silicon in silica and titanium in titania have the same oxidation state and can also provide the same size particles. In one embodiment, sol-gel precursors for both silica and titania are used to form a composite sol gel material including both silica and titania. The ability to vary the amounts of the various components can be used to create a desired refractive index necessary across a broad range of refractive indexes for AR coatings on a wide variety of chalcogenide glass substrates, which can present a wide variety of substrate refractive indexes.

FIG. 1 is a flow chart detailing steps to create an exemplary composite titania/silica sol-gel derived composite coating according to an embodiment of the invention. Two sol-gel solutions, comprising titania isopropoxide (titanium precursor) and TSOS (silica precursor) are prepared and aged separately for 24 hours as shown in FIG. 1 before mixing to form the hybrid titania silica sol-gel. The hybrid titania silica sol-
more air and less solid. This porosity distribution generally approximates the square root of an underlying chalcogenide substrate having a refractive index of about 2.7. The coating material and method described herein is a low cost, room temperature capable process that makes use of the unique properties of sol-gel, while significantly enhancing the optical transmission of infrared substrates in the near infrared region. The creation of a coating that is a viable AR coating for infrared substrates at several wavelengths in the near infrared region is presented herein. This considerable gain in transmission, at points within the 1.5-5 microns wavelength range as described in the Examples below, is an important step forward in AR coatings and should prove to be of future interest as the applications of infrared substrates including chalcogenides in this region, as well as at other wavelengths, are more fully realized. The invention is described generally for AR coatings. AR coatings reduce reflections and ghost images while enhancing the transmission of light. This is especially important when a large number of surfaces are used, such as in microscopes, camera lenses or endoscopes. The invention can also be used to increase optical transmission in a wide range of applications that are served in the UV, visible and infrared range, in lighting systems, laser technology, projection systems, and even in medical applications such as mirrors, band-pass filters for information technology, coatings for displays, and more.

Examples

It should be understood that the Examples described below are provided for illustrative purposes only and do not in any way define the scope of the invention. As described above, FIG. 1 is a flow chart detailing steps to create an exemplary composite sol-gel coating according to an embodiment of the invention which will be utilized in the present example. The first component comprised a silica sol-gel which was created with equal parts tetraethylorthosilicate (TEOS) (+99%) and ethanol (anhydrous, 85%). The sol reaction utilizes nitric acid for hydrolysis and DI water, added drop wise, for water condensation. The sol was then covered, to prevent evaporation, and was then left for aging for a day. The second component of the composite sol-gel is the titania. The titania film component was formed using titanium (IV) Isopropoxide (97%), 2-Propanol (Grade GC, 99.7%), and DI water. Isopropoxide was added to the propanol to avoid evaporation.

The two sol-gel components were then combined after their appropriate aging cycles into one larger container. This mixture was stirred to ensure proper combination of the two constituents. The two individual sols were clear in color and...
devoid of emulsion before combination. After mixing, the solution became a mild yellow color. This yellow color will not affect the final coating due to the extremely thin nature of the coating generally used in practical applications, such as being around 50 nm.

The aged sols, both silica and titania, were tested individually on fused silica glass slides. The film consistencies were confirmed through both visual observation and optical microscope. After the verification of acceptable aging times was completed, the two sols were mixed and stirred. The coating was done promptly following mixing to avoid gelation. The coatings on the chalcogenide glass were carried out under room temperature conditions.

A dip coating instrument (KSV Instruments, Ltd. Dip Coater) was used to control vibrational effects and to increase the homogeneity of the film thickness. The rate used for withdrawal was 85 cm/min. At this rate, inconsistencies due to vibration were avoided. For coating the glass slides the instrument was equipped with a standard gripping mechanism. However, for the chalcogenide glass, of circular design, nearly 0.5 cm thick, it was found to be desirable to fashion a holding ring of platinum wire. The glass slides and chalcogenide glass samples were cleaned prior to dipping in order to ensure a consistent coating surface.

Important aspects of sol-gels are generally particle size, pH, and refractive index. These were analyzed for both of the sol-gel components, and of the material in its composite form.

Particle size measurements were performed using the Zetasizer (Malvern Instruments—Nano-Series with cell type DTS0012 disposable sizing cuvette). The dispersant chosen was a 2-Propanol with viscosity 2,400 cP and a refractive index of 1.377 at a temperature of 25°C. The material property test setting was a silica-titania composite with a refractive index of 1.375.

The pH measurements were taken on a Denver Instruments (model 250 pH—ISE—Conductivity Meter). This instrument has a pH resolution of about 0.001. Calibration was done using pH buffer solutions from Fisher Chemicals of pH 4, 7, and 10. Automatic checks of the electrode are built into this system.

The surface chemistry of the silica-titania composite was evaluated using the XPS utilizing PHI ESCA spectrometer (model 5400, Perkin-Elmer, Minnesota, having energy resolution of ±0.1 eV, at a base pressure of 5×10⁻⁶ Torr using Mg Kα radiation (1253.6 eV)). The X-ray power during the analysis was 300 W. Both the survey and the high-resolution narrow-scan spectra were recorded at the pass energies of 44.75 and 35.75 eV, respectively, to achieve the maximum spectral resolution. The binding energy (B.E.) of the Au 4f₇/₂ at 84.0±0.1 eV was used to calibrate the binding energy (B.E.) scale of the spectrometer. Any charging shifts produced by the samples were correctly corrected using C (1s) B.E. level of 284.6 eV in the adventitious carbon.

A Fourier Transform Infrared (FTIR—Spectrum One system, having wavelength range 7,800-350 cm⁻¹ with KBr beamsplitter) was utilized for measurement of the transmission spectra over the wavelength range of 1.5 to 5 micrometers. The resolution of the system is 0.5 cm⁻¹ with a wavelength accuracy of 0.1 cm⁻¹ at 1,600 cm⁻¹. The FTIR was used to measure the transmission of the bare chalcogenide glass controls and coated chalcogenide glass samples according to the invention. The alignment of the sample was provided through an alignment board and complimentary screw inserted sample holder. All measurements were reproduced multiple times to verify results obtained.

Commonly found with silica derived sol-gels are particle sizes that are approximately 50 nm. In many cases, it is beneficial to create a less dense film, for the purposes of increasing transmission at the sacrifice of mechanical properties. Chalcogenide lenses may not be thermally stable, also having low resistance to abrasion which could affect the transmission quality of the glass. The sol-gel method can significantly enhance the abrasion resistance of the chalcogenide. FIG. 2 are graphs showing the silica particle size distribution obtained from the process described relative to FIG. 1. There are two main size distributions, one having a peak centered between about 2-10 nm and another having a peak centered at about 100-200 nm which represents agglomerated particles. The agglomerated particles are generally undesirable and can be removed by filtration. Although not shown in any Figure, the size distribution of the titania particles was also similar to the size of the silica particles.

The pH of the sols, along with composition and preparation, can alter the size of the particles within the sol. The silica sol was extremely acidic, at a pH of approx. 1. This was then offset by the neutral nature of the Titania sol, pH of approx. 7, in the composite sol. The final pH of the composite sol was about 5. In a pure silica system it has been determined that a lower pH, approximately 2.5 or lower, indicates growth towards linear chains, thereby forming a denser film, moving away from particles. In the opposite case at a pH of 7.5 or above, the particles are spherical creating a very porous film.

The final composite sol gel film can generally be described as being homogeneously distributed which is ensured by thorough mixing as described herein. Although there may be some segregation of titania and silica particles in the coating, such as short chains of silica and/or titania, in practical applications the size of the beam of light to be processed will be significantly larger than the spatial extent of any segregation thus averaging out any segregation effect on optical performance of the coating.

As noted above, the refractive index is important parameter for AR coatings. The refractive index is known to be one of the major difficulties in creating AR coatings for chalcogenides and other optical substrates. Chalcogenides are known for their high refractive indices that are also non-linear in nature.

In this case, the chalcogenide glass substrate used had a refractive index close to 2.7 at 3 microns. The refractive index is would change significantly as the incident light progressed further into the infrared region, but the intrinsic non-linearity was significantly avoided in the present research due to the focus on the region between 1-5 micron wavelengths. Focusing on a refractive index of 2.7, the closest approximation of the index of refraction of the glass averaging out dispersion effects, an attempt was made to fine tune a composite sol-gel to the aforementioned square root refractive index value, of about 1.64.

In order to create such a refractive index, two solutions of known refractive index were combined to effectively average to the desired refractive index. The first solution, silica sol has a known refractive index of about 1.25 and the second solution, titania sol has a known refractive index of about 1.91. The combination of the two was expected to be an average of the two, based on the amount of each solution.

FIGS. 3-5 provide XPS data taken after ambient storage for two weeks that evidence that the composite sol-gel formed as described above included O, Si, and Ti as expected. FIG. 3 is a XPS Si (2p) spectrum evidencing SiO₂ formation. The peak is centered at 103.2 eV indicating the presence of SiO₂. No other peaks are present indicating that essentially all of the silicon is in the form of SiO₂. FIG. 4 is a XPS Ti (2p) spectrum evidencing formation of TiO₂. The peak is centered at a binding energy of 459.16 eV signifying the presence of TiO₂. No other peaks are present indicating that essentially all of the
The ability of a coating to perform within one week of its original creation is an important step in the development of a successful coating. However, it is more relevant to view the functioning of a coating several weeks after its initial application and testing. It is the intrinsic nature of a sol-gel to relax over time, slowly releasing the remaining moisture and solidifying further. The first step towards aging is denoted as polymerization and represented by the condensation reaction $\text{Si-OH} + \text{HO-Si} \rightarrow \text{Si-O-Si} + \text{H}_2$, which link up the initial network. Following polymerization, hydrolysis occurs which further strengthens the network. Hydrolysis is shown by the reaction $\text{Si}-\text{OR} + \text{H}_2\text{O} \rightarrow \text{Si-}\text{OH} + \text{ROH}$. This reaction is reversible, but with the presence of enough water, can be encouraged to not reverse. This continues until such time as the coating is in equilibrium with its surroundings and the substrate.

As noted above, the coating described in this Example was allowed to age in ambient storage for two weeks and then tested. The relaxation of the film with aging generally involves some densification and thinning of the film. The densification process is believed to be mediated by bond cleavage due to the movement of electrons from the valence band to the conduction band. The movement leads to the rearrangement of the gel network through the condensation reaction which causes densification. This densification changes the phase cancellation properties of the reflected waves, affected each of the wavelengths differently. The specific thickness, represented theoretically, does not reflect any incident light. However, as the coating dries it becomes denser and in the process, becomes thinner. Due to the wave-like nature of light, the thickness of the coating determines the phase properties of the reflected waves. The waves incident to the film surface are altered by the refractive index change from the air to the coating. Disregarding the unique nature of sol-gel’s surface and porosity, the waves of light of different lengths would be reflected, or not reflected, differently with a different refractive index and film thickness. This affect was found to not significantly affect the final transmission values recorded for coated substrates according to the invention. Accordingly, composite coatings according to the invention are show minimal densification and thinning of the film over time. The impact of the minimal thinning over time of the AR coating is further reduced by the gradient refractive index of the coating as described above.

Embodiments of the invention can be in other forms without departing from the spirit or essential attributes thereof and, accordingly, reference should be had to the following claims rather than the foregoing specification as indicating the scope of this disclosure.

We claim:

1. A method for forming composite anti-reflective (AR) sol-gel-derived coatings, comprising:
   forming a first chalcogenide compound comprising sol;
   forming a second chalcogenide compound comprising sol;
   mixing said first and second chalcogenide compound comprising sol to form a mixed sol, and
   thermally treating said mixed sol at a maximum temperature of no more than 100°C. for (i) drying said mixed sol to form a composite gel and (ii) removing OH− species from said composite gel to form a highly amorphous state AR coating, wherein a degree of polymerization increases throughout an entire time between said mixing and formation of said composite gel.

2. The method of claim 1, wherein said first chalcogenide compound comprises sol comprises silica and said second chalcogenide compound comprising sol comprises titania.
3. The method of claim 1, wherein said first chalcogenide compound comprising sol comprises a first metal or a semiconductor chalcogenide compound and said second chalcogenide comprising compound comprising sol comprises a second metal or a semiconductor chalcogenide compound.

4. The method of claim 1, further comprising the step of coating said mixed sol on an infrared transmissive substrate before said thermally treating.

5. The method of claim 4, wherein said infrared transmissive substrate comprises a chalcogenide glass substrate.

6. The method of claim 5, wherein said chalcogenide glass substrate comprises a substrate having a refractive index around 2.7, and wherein said refractive index of said highly amorphous state AR coating in a wavelength range from 1 to 5 microns is from 1.62 to 1.66.

7. The method of claim 4, wherein a % transmissivity of said highly amorphous state AR coating on said infrared transmissive substrate averages at least 70% over a majority of a wavelength range from 1.5 to 5 micrometers.

8. A method for forming composite anti-reflective (AR) sol gel-derived coatings, comprising:
   forming a first chalcogenide compound comprising sol; forming a second chalcogenide compound comprising sol; mixing said first and second chalcogenide compound comprising sol to form a mixed sol, and thermally treating said mixed sol at a maximum temperature of no more than 100° C. for (i) drying said mixed sol to form a composite gel and (ii) removing OH⁻ species from said composite gel to form an AR coating, wherein a degree of polymerization increases throughout an entire time between said mixing and formation of said composite gel.

9. The method of claim 1, wherein said highly amorphous state AR coating is essentially all in a metal or semiconductor chalcogenide compound form.

10. The method of claim 1, wherein said highly amorphous state AR coating has a refractive index gradient across its thickness, wherein said refractive index gradient comprises a lower refractive index at its surface as compared to a bulk of said highly amorphous state AR coating.

11. The method of claim 10, wherein said refractive index gradient comprises a difference in refractive index from 1 to 5% from said surface to said bulk of said highly amorphous state AR coating.

12. The method of claim 1, wherein a thickness of said highly amorphous state AR coating is <200 nm.

13. The method of claim 1, wherein a primary particle size distribution of said highly amorphous state AR coating has a peak between about 2-10 nm.

14. The method of claim 8, wherein said thermally treating consists of a single step which is a sole heat treatment used in said method, and there are no intervening steps between said mixing and said thermally treating.

15. The method of claim 8, wherein said first chalcogenide compound comprises sol comprises silica and said second chalcogenide compound comprising sol comprises titania.

16. The method of claim 8, wherein said first chalcogenide compound comprising sol comprises a first metal or a semiconductor chalcogenide compound and said second chalcogenide comprising compound comprising sol comprises a second metal or a semiconductor chalcogenide compound.

17. The method of claim 8, further comprising the step of coating said mixed sol on an infrared transmissive substrate before said thermally treating, wherein a % transmissivity of said AR coating on said infrared transmissive substrate averages at least 70% over a majority of a wavelength range from 1.5 to 5 micrometers.

18. The method of claim 8, wherein said AR coating is essentially all in a metal or semiconductor chalcogenide compound form.

19. The method of claim 8, wherein said AR coating has a refractive index gradient across its thickness, wherein said refractive index gradient comprises a lower refractive index at its surface as compared to a bulk of said AR coating.

20. The method of claim 8, wherein said thermally treating consists of a single step which is a sole heat treatment used in said method, and there are no intervening steps between said mixing and said thermally treating.

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